

# Role of exercise in Heart Failure

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## Limitations of people with heart failure

- Psychological** → reduced quality of life
- Inc. Sympathetic act.
- Central** → dyspnea
- Endothelial dysf.
- Inc. RAS → fatigue
- Peripheral** → fatigue
- reduced activity tolerance

↑ Mortality    ↑ Morbidity    ↑ Cost

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**A**

↑ (4-6×) C.O.    ↑ (2-4×) HR

↑ (20-50%) SV (at least 100 mL)

Frank Starling mechanism of ↑ EDV and ↓ ESV

↑ contractility    ↑ peripheral vasodilation

**B**

<50% of normals ↑ C.O.    ↑ Lower max HR (low workload)

↑ SV (limited to 50-65 mL)

Minimal preload reserve to ↑ EDV and/or Inability to ↓ ESV

↓ contractility, ↓ β-adrenergic responsiveness    ↑ systemic vascular resistance

↑ sympathetic and renin-angiotensin systems    ↓ Arterial vasodilation response to exercise

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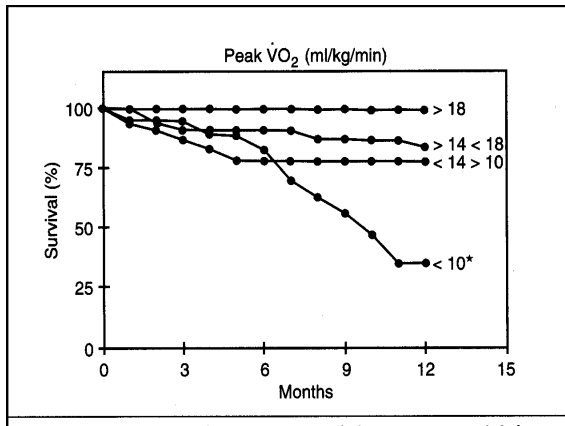
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**Ventilatory and Heart Rate Responses to Exercise Better Predictors of Heart Failure Mortality Than Peak Oxygen Consumption**

Mark Robbins, MD; Gary Francis, MD; Fredric J. Pashkow, MD; Claire E. Snader, MA; Kathy Hoercher, RN; James B. Young, MD; Michael S. Lauer, MD

**Background**—An abnormally low chronotropic response and an abnormally high ventilatory response ( $\dot{V}_E/\dot{V}CO_2$ ) to exercise are common in patients with severe heart failure, but their relative prognostic impacts have not been well explored.

**Methods and Results**—Consecutive patients with heart failure referred for metabolic stress testing who were not taking  $\beta$ -blockers or intravenous inotropes ( $n=470$ ) were followed for 1.5 years. The chronotropic index was calculated while peak  $\dot{V}O_2$  and  $\dot{V}_E/\dot{V}CO_2$  were directly measured. Chronotropic index and peak  $\dot{V}O_2$  were considered abnormal if in the lowest 25th percentiles of the patient cohort, whereas  $\dot{V}_E/\dot{V}CO_2$  was considered abnormal if in the highest 25th percentile. For comparative purposes, a group of 17 healthy controls underwent metabolic testing as well. Compared with controls, heart failure patients had markedly abnormal ventilatory and chronotropic responses to exercise. In the heart failure cohort, there were 71 deaths. In univariate analyses, predictors of death included high  $\dot{V}_E/\dot{V}CO_2$ , low chronotropic index, low  $\dot{V}O_2$ , low resting systolic blood pressure, and older age. Nonparametric Kaplan-Meier plots demonstrated that by dividing the population according to peak  $\dot{V}_E/\dot{V}CO_2$  and peak  $\dot{V}O_2$ , it is possible to identify low, intermediate, and very high risk groups. In multivariate analyses, the only independent predictors of death were high  $\dot{V}_E/\dot{V}CO_2$  (adjusted relative risk [RR] 3.20, 95% CI 1.95 to 5.26,  $P<0.0001$ ) and low chronotropic index (adjusted RR 1.94, 95% CI 1.18 to 3.19,  $P=0.0009$ ).

**Conclusions**—The ventilatory and chronotropic responses to exercise are powerful and independent predictors of heart failure mortality. (*Circulation*. 1999;100:2411-2417.)

**Key Words:** heart failure ■ mortality ■ exercise ■ heart rate ■ ventilation

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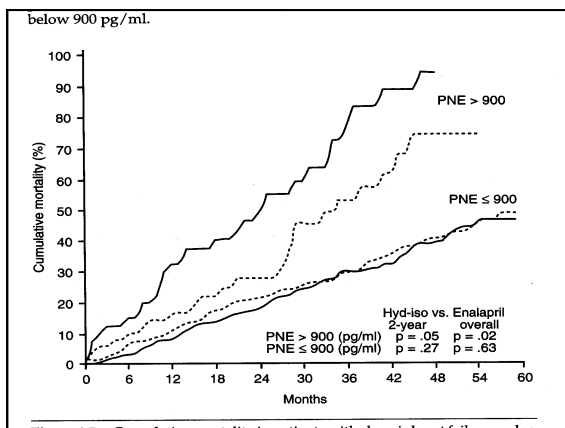
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Figure 2.8 Survival of patients with chronic heart failure by plasma sodium concentration. Reprinted, with permission, from Packer et al., *Circulation* 1997; 95:1011-1019.

Figure 2.9 Survival plot for patients with myocardial infarction by plasma endothelin concentration above and below the 75th percentile (6.5 pg/mL). Reprinted, with permission, from Omland T, Li JT, Aabvåg A, Aunehaug T, Dickstein K.

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- Neurohormonal activation
  - norepinephrine
  - renin
  - aldosterone
  - atrial natriuretic peptides
  - arginine vasopressin
  - sodium : a surrogate measure for renin-angiotensin-aldosterone activation
  - endothelin

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## Compensatory mechanisms

- Activate when left ventricular function is depress
- activate to maintain an adequate cardiac output
- benefit is the short term
- contribute to progressive ventricular and vascular dysfunction

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## Compensatory mechanism

- Cardiac adjustment
  - Lt. Ventricular dilatation and concentric hypertrophy \*
  - inc. end-diastolic volume resulting in inc. SV \*
  - Ventricular remodeling from myocyte loss, interstitial fibrosis, myocardial slippage, and myocyte hyperthrophy
  - Inc. wall stress dec. systolic function

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### Compensatory mechanism

- ◆ inc passive wall stiffness and slowed-energy dependent myocyte relaxation result in diastolic dysfunction
- ◆ impaired ability to regenerate high energy phosphate
- ◆ direct effects from some substances: endothelin, angiotensin-II
- ◆ inc TNF, IL-6
- ◆ increase free fatty acid mobilization via neurohormonal stim.
- ◆ Blood ketones body elevated

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### High risk cardiac patient

- Myocardial ischemia
- Infarct size
- Myocardial tissue at Risk
- Occurrence of ventricular fibrillation or tachycardia
- Neurohormonal factors
  - plasma con of various peptides: NE, renin, aldosterone, atrial naturetic peptide endothelin
  - Plasma Sodium concentrations
- Psychosocial factors

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**AHA Scientific Statement**

### Team Management of Patients With Heart Failure

**A Statement for Healthcare Professionals From the Cardiovascular Nursing Council of the American Heart Association**

Kathleen L. Grady, PhD, RN; Kathleen Draup, DNSc, RN; Gemma Kennedy, PhD, RN; Debra K. Moser, DNSc, RN; Mariann Piano, PhD, RN; Lynne Warner Stevenson, MD; James B. Young, MD

**H**eat failure is estimated to affect 4 to 5 million Americans, with 550 000 new cases reported annually.<sup>1</sup> In the past 3 decades, both the incidence and prevalence of heart failure have increased.<sup>1-3</sup> Factors that have contributed to this increase are the aging US population and improved survival rates in patients with cardiovascular disease due to advancements in diagnostic techniques and medical and surgical therapies.<sup>2,4-8</sup> Heart failure is a chronic, progressive disease that is characterized by frequent hospital admissions and ultimately high mortality rates. Because of its high medical resource consumption, heart failure is the most costly cardiovascular illness in the United States.<sup>9</sup>

Advances in the treatment of heart failure and early intervention to prevent decompensation may delay disease progression and improve survival. After initial evaluation, further diagnostic testing, and implementation of standard

**Development of an Integrated Approach to Heart Failure Management**

**Pathophysiology and Definition of Heart Failure**

The syndrome of heart failure is a result of complex interactions among molecular, endocrine, and biodynamic systems. There are several pathophysiological mechanisms that are involved in the progression of heart failure; however, cardiac remodeling is more than likely a central feature in the progression of heart failure (Table 1).<sup>8-10</sup> We now recognize that many different injurious processes create altered pump performance and circulatory dynamics.<sup>4</sup> Injury can range from ischemia to myocardial toxins to volume or pressure overload. It can also be linked to genetic causes such as familial cardiomyopathies or even sporadic mutations in sarcomeric proteins. Even though these conditions are linked to different inciting extracellular stimuli (eg, myocardial

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- ◆ Ventricular tachycardia
- ◆ Ventricular fibrillation
- ◆ Bradyarrhythmia
- ◆ Pump failure
- ◆ Recurrent ischemia
- ◆ Electromechanical dissociation
- ◆ Strokes/embolic phenomenon
- ◆ Pulmonary/reinal complication

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**Clinical stability**

- Clinical cardiovascular criteria
- Stability of noncardiovascular disease
- Laboratory criteria
- Psychosocial criteria

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**Cardiovascular criteria**

- improved activity since previous visit, walk > 1 city block
- no limitation during dressing
- freedom from evidence of congestion
- absent of angina or present in stable exertional patterns
- No syncope or recurrent symptomatic arrhythmias
- Stable fluid balance with an increase in diuretic dose of not more often than once a week

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### Cardiovascular criteria

- Stable vital signs
  - Blood pressure**
    - Systolic heart failure: Systolic pressure > 80 mmHg, no symptoms of postural hypotension, and proportional pulse pressure of > 25 %
    - Heart failure with “preserved EF”: control of hypertension
  - Heart rate**
    - Sinus rhythm: generally < 85 BPM at rest
    - AF: generally > 85 BPM at rest, < 110 bpm with routine activity

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### Noncardiovascular disease

- control of pulmonary disease with lowest possible doses of systemic corticosteroids and inhaled beta-adrenergic agents
- control of hyperglycemia without hypoglycemia
- effective therapy of chronic blood loss or anemia

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### Laboratory criteria

- stable renal function, generally with serum Cr < 2.0 mg/dL and BUN < 50 mg/dL; may be higher in patients with known intrinsic renal disease
- stable serum sodium, generally > 134 mEq/L

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**Psychosocial criteria**

- Compliance with medical regimen
- Social support
- absence of serious depression or dementia

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### Role of Exercise Training in HF

- Exercise Capacity \*\*
- Catecholamines \*
- Ventilatory Responses \*\*
- Endothelial Function \*
- Myocardial Adaptations ?
- Mortality ?
- Quality of Life ?\*

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### Role of Exercise Training in HF

- ◆ Adaptations are mostly peripheral
- ◆ Activation of neuro-hormonal system
- ◆ Central adaptation

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**Circulation** American Heart Association   
JOURNAL OF THE AMERICAN HEART ASSOCIATION *Learn and Live.*

**Exercise and Heart Failure: A Statement From the American Heart Association Committee on Exercise, Rehabilitation, and Prevention**  
Heena L. Piña, Carl S. Apstein, Gary J. Balady, Romaldo Belardinelli, Bernard R. Chaitman, Brian D. Duschla, Barbara J. Fletcher, Jerome L. Fleg, Jonathan N. Myers and Martin J. Sullivan  
*Circulation* 2003;107:1210-1225  
DOI: 10.1161/01.CIR.0000055013.92097.40  
Circulation is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX 75214  
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The online version of this article, along with updated information and services, is located on the World Wide Web at:  
<http://circ.ahajournals.org/cgi/content/full/107/8/1210>

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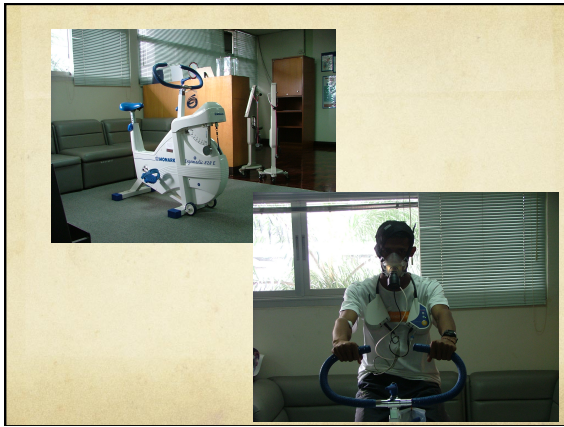
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### Exercise recommendation

- assessment and management of possible limitations to exercise
- optimal pharmacology
- nutritional support

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### Exercise recommendation

- dyspnea management(supplemental oxygen, mobilization of secretions)
- Ventilatory breathing exercise
  - purse lip breathing
  - inspiratory muscle training
  - diaphragmatic breathing
  - inhibition of accessory muscle

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## Risks

- Very low
- **Activities performed with continuous ECG monitoring have the lowest rates of sudden cardiac arrest compared with those that are unmonitored or only intermittently monitored.**
- High risk: MI, VF, Poor systolic response, survival of CPR

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 2. 2010 10 10  
 3. 2010 10 10

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Authors (Year of Publication)	No. of Patients	EF, %	Exercise Program		Adverse Events
			Duration, wk	Intensity (% Peak HR or $\dot{V}_{O_2}$ )	
(1) Conn et al (1982) <sup>12</sup>	10	29	5 to 8	70% to 80% HR	None during training
(2) Sullivan et al (1988) <sup>24</sup>	12	24	16 to 24	75% $\dot{V}_{O_2}$	Worsened HF (n=1); exhaustion (n=1)
(3) Jettie et al (1991) <sup>24</sup>	7	24	4	70% to 80% HR	Worsened HF (n=3); ventricular tachycardia (n=1)
(4) Meyer et al (1991) <sup>25</sup>	12	23	6	70% to 80% HR	Worsened congestive HF (n=1)
(5) Coats et al (1992) <sup>27</sup>	17	19	8	70% to 80% HR	None during training
(6) Koch et al (1992) <sup>28</sup>	12	26	12	Individualized protocol	None during training
(7) Belardinelli et al (1995) <sup>24</sup>	16	31	8	40% $\dot{V}_{O_2}$	None during training
(8) Belardinelli et al (1995) <sup>28</sup>	36	28	8	60% $\dot{V}_{O_2}$	Atrial fibrillation (n=1); hypotension (n=2)
(9) Hambrecht et al (1995) <sup>29</sup>	12	26	24	70% $\dot{V}_{O_2}$	Atrial arrhythmia (n=1)
(10) Kotevyan et al (1996) <sup>30</sup>	15	21	24	60% to 80% HR	None during training
(11) Kavanagh et al (1996) <sup>31</sup>	15	22	52	50% to 60% $\dot{V}_{O_2}$	None during training but worse HF (n=5) after training
(12) Kilbavuni et al (1996) <sup>32</sup>	12	24	24	50% to 60% $\dot{V}_{O_2}$	Not reported
(13) Wilson et al (1996) <sup>33</sup>	32	23	12	60% to 70% HR	Extreme exhaustion (n=3)
(14) Demopoulos et al (1997) <sup>34</sup>	16	21	12	50% to 80% $\dot{V}_{O_2}$	None during training
(15) Dubach et al (1997) <sup>35</sup>	12	32	8	70% to 80% $\dot{V}_{O_2}$	None during training
(16) Meyer et al (1997) <sup>36</sup>	18	21	3	50% $\dot{V}_{O_2}$	None during training
(17) European Heart Failure Training Group (1998) <sup>37</sup>	134	25	6 to 16	70% to 80% HR	None during training
(18) Hambrecht et al (1998) <sup>37</sup>	10	24	24	70% $\dot{V}_{O_2}$	None during training
(19) Belardinelli et al (1999) <sup>32</sup>	50	28	52	60% $\dot{V}_{O_2}$	None during training
(20) Hare et al (1999) <sup>38</sup>	9	26	11	Resistance training	None during training

HR indicates heart rate (bpm).

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## Contraindications or delay in initiating

- **Recent hospitalization for, or clinically unstable, heart failure**
- **Heart failure medication not optimized**
- **Severe stenotic or primary regurgitant valvular heart disease**
- **Significant intercurrent illness, not substantially resolved**
- **Recent procedure that requires delay in starting exercise training ( ICD)**

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 3. 2010 10 10

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### Contraindications or delay in initiating

- Exercise testing results that would preclude safe exercise training
- Uncertain: New York Heart Association functional class IV, secondary severe mitral or tricuspid regurgitation, uncontrolled atrial fibrillation

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### Exercise prescription

- ไม่มีกฎแน่นอน โดยมากให้ใช้ระดับเบา คือ 40%-50% of Vo2 max = 65% of HR max
- ไม่มีระดับความหนักเบาขั้นต่ำ ผป. บางรายอาจเกิดประโยชน์จากการเคลื่อนไหวเล็กน้อย
- RPE = 12-13
- Resistance training in stable cases with low weight(1-2 lbs) and dynamic exercise

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### Exercise prescription

- special precaution and strict to criteria
- For diastolic dysfunction avoid resting vigorous heart rate
- For systolic dysfunction avoid isometric and heavy work load even the heart rate is not raise

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**ตัวอย่างโปรแกรมสำหรับ EC < 3 METs**

Week	%EC	Total min	Min Ex	Min rest	Reps
1	50	10-15	3-5	3-5	3-4
2	50	12-20	5-7	3-5	3
3	50-60	15-25	7-10	3-5	3
4	50-60	20-30	10-15	2-3	2
5	60-70	25-40	12-20	2	2
6	60-70	30-45	15-25	2	1-2

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**ตัวอย่างโปรแกรมสำหรับ EC 3 - 5 METs**

Week	%EC	Total min	Min Ex	Min rest	Reps
1	50-60	15-20	7-10	2-3	3
2	50-60	20-30	10-15	2	2
3	60-70	25-40	15-20	2	2
4	60-70	30-45	20-30	2	1-2

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**Exercise prescription**

- ◆ status change very quickly, pt. Should reevaluate every exercise session
- ◆ warm-up and cool down should be prolonged
- ◆ use low intensity/long duration sessions
- ◆ PRE and dyspnea scales are very useful

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### Exercise prescription

- ◆ avoid isometric exercise
- ◆ ECG monitors in Hx of V tach, cardiac arrest, or exertional hypotension
- ◆ consider investigation to developing exercise program, do not exceed work load that produces wall motion abnormalities, a drop in EF, a pulmonary wedge pressure > 20 mmHg, or above ventilatory threshold

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### Relative criteria for participation in an exercise program

- ability to speak without signs and symptoms of dyspnea(able to speak comfortably with RR < 30 beat/min
- not more than moderate fatigue by subjective clinical impression
- crackles present in less than one half of the lungs
- cardiac index > 2L/min/m<sup>2</sup> or CVP < 12 mmHg

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### Indicators for modifying or termination of exercise in CHF patients

- marked dyspnea of fatigue ( RPE > 3/10 or 15/20) RR > 40 BPM
- Development of S3 or pulmonary crackles
- Increase in pulmonary crackles
- Significant increase in the sound of the second component of the second heart sound (P2)

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**Indicators for modifying or termination of exercise in CHF patients**

- Poor pulse pressure < 10 mmHg
- Decrease in HR or BP > 10 BPM or mmHg
- Increasing supraventricular or ventricular ectopy
- Diaphoresis, pallor, or confusion

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Journal of the American College of Cardiology  
© 2007 by the American College of Cardiology Foundation  
Published by Elsevier Inc.

Vol. 49, No. 24, 2007  
ISSN 0735-1097/07/\$32.00  
doi:10.1016/j.jacc.2007.04.023

**EDITORIAL COMMENT**

**Exercise Training in Heart Failure**  
Contradictory or Conventional?\*

Stanley A. Rubin, MD, FACC  
*Los Angeles, California*

The paintings of the Belgian surrealist René Magritte (1898 to 1967) juxtapose 2 incongruous, illogical, or even contradictory objects: a steam locomotive projecting from a domestic fireplace (*Time Transfixed*, 1939); a bright daytime sky over a nighttime scene of a dimly lighted street (*The Empire of Light*, 1954); or a picture of a pipe with a caption on the canvas that reads, in French, "This is not a pipe" (*The Treachery of Images*, 1929). On the basis of conventional wisdom, the current palette of therapy for heart failure also would seem incongruous, illogical, or contradictory if it included exercise therapy. However, change is at hand.

See page 2329

(3) that advocated limited exercise rehabilitation and training based on available evidence-based medicine perhaps marked the tipping point away from the conventional view. Subsequently, an American College of Cardiology/American Heart Association (ACC/AHA) guideline statement (2) recommended exercise training in patients with heart failure. A formalized recognition of this recommendation is part of the current ACC/AHA guidelines (4) for patients with current or prior symptoms of heart failure with reduced systolic function: exercise training is a Class I recommendation (Level of Evidence B). What is the evidence basis for this sea change in exercise training as therapy for heart failure, what are the limitations of the recommendations (both patient- and payer-centered), and what should clinicians now do with this information?

Studies of exercise training suggest some beneficial effects. The ACC/AHA statement (2), noted previously, reviewed 15 exercise trials in systolic dysfunction heart failure that included from 17 to 99 patients (total of 426) patients, the typical range of study patients in such trials. These trials used a wide range of training programs that differed by setting, type of activity, intensity, and duration, the latter ranging from 4 to 24 weeks. It found a modest improvement in  $\dot{V}O_{2max}$  that occurred after a few weeks (range 12% to 31%) that was sustained for a number of months if the training program continued. A Cochrane review of 29 studies with 1,126 patients similarly reported that exercise training resulted (in the subset of studies providing data) in modest increases of  $\dot{V}O_{2max}$  (range -10%

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- Conventional wisdom excluded the role of exercise training in the management of heart failure.
- Studies of exercise training suggest some beneficial effects.

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## Conclusions.

So, is heart failure and exercise training contradictory or conventional?

Looking forward to the likely beneficial effect of exercise training, as well as additional beneficial therapies available to treat heart failure, we may one day look upon such a patient and say,

“This is not a heart failure patient.”

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และ หลอดเลือด

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## Wrap Up messages

- HF is best candidate to exercise
- HF is safe to exercise
- Consider risk group & optimal management before start exercise program
- Exercise prescription is related to FC

ภาควิชาเวชศาสตร์  
ฟื้นฟู  
สมรรถภาพหัวใจ  
และ หลอดเลือด

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